



☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00) \$ 65.00

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00)

☐ Attached is an assignment to _____

Total fees: \$460.00

RECEIVED
MAY 10 2001
TECHNOLOGY CENTER (3700)

SMALL ENTITY STATUS

☒ A copy of verified statement that this filing is by
a small entity is

☐ Attached W/cover sheet ☒ already filed
on December 22, 2000

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$460.00

☐ Charge Account No. 12-0415 in the amount of _____
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

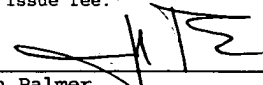
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."


John Palmer
Attorney for the Applicant
Registration No.: 36,885

c/o LADAS & PARRY
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Facsimile No.: (323) 934-0202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Toshikazu KIKUCHI, et al.

Serial No.: 09/372,966

Filed: August 12, 1999

For: "INSERTION DEVICE FOR
DEFORMABLE..."

Group Art Unit: 3731

Examiner: Not yet assigned

Our Ref.: B-3425DIV 617265-1

Date of this paper:

May 4, 2001

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to Notice to File Missing Parts of Application (CPA)
(Filing Date Granted) dated 01/04/2001 (copy attached)

DECLARATION OR OATH

[] Please find enclosed the original Declaration/Power of Attorney.

PRELIMINARY AMENDMENT

[] Please cancel claims _____ inclusive

[X] Please find enclosed a Preliminary Amendment (4 pages)

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

[X] Filing fee (37 CFR 1.16(a) - 710.00) \$355.00
(small entity - 355.00)

[X] Fees for claims

[X] 1 Independent claims in excess of 3
(37 CFR 1.16(b) - 80.00)
(small entity - 40.00) \$40.00

[] Claims in excess of 20
(37 CFR 1.16(c) - 18.00)
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner of Patents & Trademarks
Washington, D.C. 20231

John Palmer

(Typed or printed name of person mailing paper)

Date: May 4, 2001

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)